



Title/Subject: Risk Management Arrangements
Meeting: Integration Joint Board Audit Committee
Date: 25 June 2018
Submitted By: Chief Officer
Action: For Decision

1. INTRODUCTION

- 1.1 The purpose of this report is to provide the IJB Audit Committee with an update on the risk management administration support arrangements for Falkirk IJB.

2. RECOMMENDATIONS

The IJB Audit Committee is invited to:

- 2.1 Note the arrangements for risk management are under discussion and almost finalised
- 2.2 Agree that the Internal Audit service use time previously allocated for a risk management review to provide consultancy for a refresh of the risk management arrangements

3. BACKGROUND

- 3.1 The terms of reference of the Audit Committee require the committee to:
- “ensure existence of and compliance with an appropriate Risk Management Strategy. Review risk management arrangements and receive regular risk management updates and reports”.
- 3.2 At the March 2018 meeting of the Audit Committee a report was presented which highlighted emerging issues in the risk management arrangements. The key issue was a lack of capacity from the Parties to support risk management. It was noted that meetings were scheduled to agree a way forward.

4. UPDATE TO RISK MANAGEMENT ARRANGEMENTS

- 4.1 NHS Forth Valley has identified administration capacity to support the Chief Executive in fulfilling her risk management NHS responsibilities. It is proposed that this resource also provides corporate support to the Chief Officer to fulfil the IJB responsibilities for

risk management. Falkirk Council will continue to provide corporate support through their Corporate Risk Co-ordinator. It is not yet clear if the above solution will be sufficient to support the Chief Officer to deliver on IJB risk management duties. The details of the new arrangements will be finalised shortly.

- 4.2 In order to support the IJB to refresh and develop its risk management framework, the Internal Audit service has offered to provide some consultancy support. This work will use the time previously set aside for a risk management audit. The consultancy support is considered a more effective use of the time allocated to the IJB.

5. CONCLUSIONS

- 5.1 The NHS Board has confirmed that a risk management resource will be made available to the Chief Officer. Falkirk Council has confirmed that their Corporate Risk Co-ordinator will continue to provide support to the Chief Officer.
- 5.2 The IJB's risk management arrangements need to be refreshed and improved. Internal Audit have offered to provide some consultancy time to support this.
- 5.3 An update report will be brought to the next meeting of the IJB Audit Committee.

Resource Implications

The embedding of risk management arrangements will be dependant on the continued resource commitment of partner organisations.

Impact on Integration Joint Board Outcomes and Priorities

The key risks are failure to effectively identify and manage the IJB risks to achieve the outcomes and priorities detailed within the Strategic Plan and other plans.

Legal & Risk Implications

The key risks are failure to effectively:

- implement the Risk Management Strategy
- identify and assess risks to delivering the Integration Joint Board's Strategic Plan, and other plans
- meet the commitments made within the Integration Scheme
- mitigate the potential impact on Falkirk Council and / or NHS reputational risks
- align risk and performance arrangements

Consultation

This report has been endorsed by both Parties.

Equality and Human Rights Impact Assessment

None.

Approved for Submission by: Patricia Cassidy, Chief Officer

Author: Amanda Templeman, Chief Finance Officer

Date: 11 June 2018

List of Background Papers: The papers that may be referred to within the report or previous papers on the same or related subjects.