

AGENDA ITEM

13

Title/Subject: Risk Management Arrangements
Meeting: Integration Joint Board
Date: 1 June 2018
Submitted By: Chief Officer
Action: For Noting

1. INTRODUCTION

- 1.1 The purpose of this report is to set out emerging issues around risk management arrangements and the steps being taken to move this forward.

2. RECOMMENDATION

The IJB is asked to

- 2.1. note the emerging issues and the steps outlined in sections 4 and 5 of the report
- 2.2. note the risk management arrangements were reported to the IJB Audit Committee on 16 March 2018.

3. BACKGROUND

- 3.1 The Falkirk Integration Scheme makes specific reference to Risk Management and Support Services. In relation to Risk Management two sections below are of most relevance:

- 13.2 The Parties will commit all necessary resources to support risk management by the Integration Joint Board
- 13.10 The Parties will support the Integration Joint Board to:
 - (a) establish risk monitoring and reporting as set out in the RM framework; and
 - (b) maintain the risk information and share with the Parties within the timescales specified.

- 3.2 In relation to Support Services, the Integration Scheme notes that:

- 4.4 The Parties will provide the corporate services agreed pursuant to paragraphs 4.2 and 4.3 to the Integration Joint Board, and the provision of such support will be reviewed annually by the Parties and Integration Joint Board to ensure that the necessary support is being provided.

Risk management arrangements form part of the support services that partner organisations NHS Forth Valley and Falkirk Council provide to the IJB.

- 3.2 The Audit Committee received a report at its meeting on 16 March 2018 outlining the emerging issues around risk management. This was in line with the terms of reference of the Audit Committee require the committee to:

“ensure existence of and compliance with an appropriate Risk Management Strategy. Review risk management arrangements and receive regular risk management updates and reports”.

- 3.3 At its meeting on 13 September 2017, the Audit Committee approved a workplan for the committee which included receipt of quarterly updates on the Strategic Risk Register and six-monthly updates on the Risk Management Improvement Plan.

4. EMERGING ISSUES AND NEXT STEPS

- 4.1 As outlined in section 3.3, the Audit Committee should expect to receive quarterly updates on the Strategic Risk Register and six-monthly updates on the Risk Management Improvement Plan. According to the indicative workplan approved by the Audit Committee, both of these reports were due to come to the committee in March 2018. Unfortunately it has not been possible to deliver these reports.
- 4.2 The Strategic Risk Register has been through a process of review recently. It was agreed at the Leadership Team meeting the Strategic Risk Register will be presented to the June meeting of the Audit Committee.
- 4.3 Both partners have recently highlighted capacity issues in terms of leading on risk management arrangements for the Falkirk IJB, as well as issues around changes of personnel. The Chief Officer, Chief Finance Officer and those responsible for risk within the partner organisations have met to discuss the risk management arrangements for Falkirk IJB and this will be reported to the June Audit Committee. However, these issues mean that the six monthly update on the Risk Management Improvement Plan has not been completed.
- 4.4 Whilst the issues highlighted by partners are understandable and reflect pressures being experienced across the public sector, and indeed the Partnership, robust risk management arrangements are essential and these issues need to be resolved urgently.

5. CONCLUSIONS

- 5.1 The report summarises the emerging issues to support the IJB risk management arrangements and summarises the work be taken forward to address this.

Resource Implications

At this stage there are no resource implications arising from this report. The embedding of risk management is currently dependent on the continued resource commitment of partner organisations. However, consideration may need to be given to identifying resource to ensure this essential role is filled.

Impact on IJB Outcomes and Priorities

Key risks are failure to identify and manage the risks associated with achieving the outcomes and priorities detailed within the Strategic Plan and other plans.

Legal & Risk Implications

The key risks are failure to effectively:

- Implement the Risk Management Strategy
- Identify and assess risks associated with delivering the Strategic Plan and other plans
- Meet the requirements of the Integration Scheme
- Mitigate the potential impact on Falkirk Council and/or NHS Forth Valley reputational risk
- Align risk and performance arrangements.

Consultation

This was not required for the report.

Equalities Assessment

This was not required for the report.

Approved for Submission by: Patricia Cassidy, Chief Officer

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Date: 9 May 2018

List of Background Papers:

IJB Audit Committee: Risk Management Arrangements -16 March 2018