

## **Agenda Item 7**

# **National Audit and Inspection Report Overview**



# Falkirk Integration Joint Board Audit Committee

25 September 2020

## National Audit and Inspection Report Overview

For Noting

### 1. Executive Summary

- 1.1 This report provides an overview of all national audit and inspection reports published since the previous Audit Committee meeting on 6 March 2020. This report is a standing agenda item.
- 1.2 A total of 4 national audit reports and briefings have been issued and 9 inspection reports (4 via the Care Inspectorate and 5 via the Mental Welfare Commission).

### 2. Recommendations

The Audit Committee is asked to:

- 2.1 note the content of this report.
- 2.2 consider the potential actions arising from the recent Covid-19 papers published by Audit Scotland referred to in section 4.1.

### 3. Background

- 3.1 In June 2019, the IJB Audit Committee agreed to receive a “National Audit and Inspection Report Overview” paper as a standing item at each meeting. This arrangement recognised that reports may need to be considered across a wide range of settings (such as the IJB or Clinical and Care Governance Committee) dependent on the nature of the report and the associated recommendations. However, it was also recognised that as a minimum, all national reports must be noted by Audit Committee and where action plans are required, these must be developed and monitored.
- 3.2 Where reports are not considered by the IJB or Clinical and Care Governance Committee (CCGC), a summary of the reports will be included in the overview paper.
- 3.3 Audit Committee members can highlight if they think that more detailed consideration of any paper is required, and if so, what the appropriate reporting route would be.

## 4. National Reports

- 4.1 The table below lists the National reports that have been issued since the last update presented to the March 2020 Audit Committee.

Author	Title of report	Publication Date	Reported to:
The Mental Welfare Commission	<a href="#">A review of Psychiatric Emergency Plans in Scotland</a>	June 2020	CCGC Aug 2020
Audit Scotland	<a href="#">Covid-19 Emerging Fraud Risks briefing</a>	July 2020	Audit Committee Sept 2020
Audit Scotland	<a href="#">Covid-19 Guide for Audit &amp; Risk Committees</a>	Aug 2020	Audit Committee Sept 2020
Audit Scotland	<a href="#">Covid-19 Implications for Public Finances in Scotland</a>	Aug 2020	Audit Committee Sept 2020

- 4.2 The 3 Audit Scotland papers included in the table above require to be formally considered by the Audit Committee. All 3 papers relate to Covid-19 and should be read in conjunction with each other. The papers describe a range of potential fraud risks emerging from the Covid-19 crisis and how public bodies can mitigate and respond to these risks. A separate specific guide is available for Audit Committees which outlines a range of suggested areas of focus during the pandemic.
- 4.3 It is recognised that when operating in emergency situations, existing controls may be compromised and/or it can be difficult to quickly establish robust controls for new processes during a crisis response. The reports aim to raise awareness and help support public bodies to identify and manage Covid related risks, whilst ensuring that sound governance and controls are in place. Given the magnitude of the financial implications arising from the pandemic across the public sector in Scotland, the role of the Audit Committee in terms of ongoing scrutiny and challenge becomes even more important. The Audit Committee is invited to discuss and consider the potential actions arising from all 3 Audit Scotland papers.

## 5. Inspection Reports

- 5.1 The table below presents the Inspection reports that have been issued since the last update presented to the March 2020 Audit Committee

Publication Date	Inspection			Action Plan	Report to
<u>Torwoodhall Care Home</u>					
24/01/2020	Care Inspectorate	Unannounced		Action Plan in place	CCGC Aug 20
<u>Falkirk Council Mobile Emergency Care Service</u>					
19/12/2019	Care Inspectorate	Announced		Action Plan in place	CCGC Aug 20
<u>Bellsdyke, Trystpark</u>					
20-May-20	Mental Welfare Commission	Announced	27/02/2020	Action Plan being finalised	CCGC Aug 20
<u>Bellsdyke Hospital, Hope House</u>					
15-Apr-20	Mental Welfare Commission	Announced	06/02/2020	Not required	CCGC Aug 20
<u>Bellsdyke Hospital, Trystview</u>					
15-Apr-20	Mental Welfare Commission	Unannounced	18/02/2020	Action plan in place	CCGC Aug 20
<u>Bellsdyke Hospital, Russell Park</u>					
18-Mar-20	Mental Welfare Commission	Announced	09/10/2019	Action plan in place	CCGC Aug 20
<u>Lochview</u>					
18-Mar-20	Mental Welfare Commission	Announced	14/01/2020	Action plan in place	CCGC Aug 20

- 5.2 Under the duties placed on the Care Inspectorate (CI) by the Coronavirus (Scotland) (No.2) Act, the CI report to the Scottish Parliament fortnightly on their inspections activity. The reports set out which care home services were inspected during those two weeks and the findings of those inspections. A summary is presented below.

Publication Date	Service	Evaluation of Service	Further Action	Report to
8 July 2020	Newcarron Court  (operated by Advinia Care Homes Limited)	The service was inspected on 4 and 9 June, which was outlined in the previous report laid before parliament on 24 June. The CI completed a further visit to the home on 24 June 2020 to follow up on the requirements made in the letter of serious concern issued on 5 June 2020.	A further visit will be made by the CI to check that improvements have been made.	CCGC Aug 20

Publication Date	Service	Evaluation of Service	Further Action	Report to
		<p>They found that the management of the home had responded positively to requirements and had taken action to make necessary improvements.</p> <p>They found that cleanliness within the home had improved. However, some items within the care home were unclean, and staff were not always following standard infection prevention and control procedures.</p> <p>During their visit, they observed good support of people's wellbeing, with staff supporting people in a relaxed and friendly way. Appropriate social distancing was also supported as needed.</p>		
<a href="#">22 July 2020</a>	<p>Newcarron Court</p> <p>(operated by Advinia Care Homes Limited)</p>	<p>The CI completed two further inspection visits to the home on 24 June and 14 July 2020 to follow up on the six requirements made in their letter of serious concern issued on 5 June 2020. These requirements related to infection prevention and control measures.</p> <p>When they visited the home on 14 July 2020, they found that all six of the requirements had been met and improvements had been made. They saw that all staff were wearing appropriate PPE for the care tasks they were undertaking. Learning and training about PPE continued to take place to ensure staff were knowledgeable and confident in their use of PPE to keep people safe. The CI found the care home to be clean. Care staff were cleaning equipment and surfaces in the care home when necessary. Communal areas were clean and homely in appearance, with some necessary spacing of seats and furniture to help residents socially distance. Care staff supported people to keep their hands clean and generally keep safe from infection as they went about their day.</p> <p>Staff were relaxed, friendly and supportive in their interactions with residents. They found staffing levels to</p>	<p>The local CHART team will continue to offer support as required.</p> <p>The FV Care Home Strategy and Planning Group will continue to have oversight of the care homes.</p>	CCGC Aug 20

Publication Date	Service	Evaluation of Service	Further Action	Report to
		be adequate. Staff had time to meet people's basic care needs but more time is needed for staff to engage in conversations and activities with people. Generally, the CI found care support paperwork to be up to date and have a good level of person-centred information. They saw some people enjoying spending time in the communal lounges while others spent time in their bedrooms sleeping or sitting quietly. This inspection was not evaluated as it was commenced before the current inspection framework was implemented in June 2020.		
<a href="#">5 August</a>		n/a		
<a href="#">19 August</a>		n/a		

## 6. Conclusions

- 6.1 This report provides a summary of all relevant national audit and inspection reports which have been recently published. The vast majority of the reports have already been considered by the Clinical Care Governance Committee and are therefore for noting.
- 6.2 Three reports published by Audit Scotland require to be formally considered by the Audit Committee.

### Resource Implications

There are no resource implications arising from this report.

### Impact on IJB Outcomes and Priorities

The IJB is committed to delivering on the outcomes and principles set out in the Strategic Plan. This has to include, for example, effectively planning for services, having appropriate governance structures and ensuring safe, high quality services are in place. The National Audit reports and Inspection Reports will provide standards and recommendations that the IJB can assess itself against to ensure delivery of the Strategic Plan.

### Legal & Risk Implications

There are no specific legal implications arising from this report. Failure to consider the findings of National Audit reports and Inspection reports would increase the risk that the outcomes of the Strategic Plan are not delivered and potentially that service users are harmed. This in turn would impact on the financial and reputational risk of the IJB and partners.

### **Consultation**

There are no consultation requirements arising from this report.

### **Equalities Assessment**

There are no equality implications arising from this report.

## **7. Report Author**

Jillian Thomson, Chief Finance Officer

## **8. List of Background Papers**

N.A

## **9. Appendices**