

# **Agenda Item 7**

## **National Audit and Inspection Report Overview**



## Falkirk Integration Joint Board Audit Committee

18 June 2021

### National Audit and Inspection Report Overview

#### For Noting

## 1. Executive Summary

- 1.1 This report provides an overview of all national audit, scrutiny and inspection reports published since the previous Audit Committee meeting held on 5 March 2021. This report is a standing agenda item.
- 1.2 A total of 3 reports have been published (1 by Audit Scotland and 2 by the Care Inspectorate).

## 2. Recommendations

The Audit Committee is asked to:

- 2.1 note the content of this report.

## 3. Background

- 3.1 In June 2019, the IJB Audit Committee agreed to receive a “National Audit and Inspection Report Overview” paper as a standing item at each meeting. This arrangement recognised that reports may need to be considered across a wide range of settings (such as the IJB or Clinical and Care Governance Committee) dependent on the nature of the report and the associated recommendations. However, it was also recognised that as a minimum, all national reports must be noted by Audit Committee and where action plans are required, these must be developed and monitored.
- 3.2 Where reports are not considered by the IJB or Clinical and Care Governance Committee (CCGC), a summary of the reports will be included in the overview paper.
- 3.3 Audit Committee members can highlight if they think that more detailed consideration of any paper is required, and if so, what the appropriate reporting route would be.

## 4. National Reports

- 4.1 The table below lists the National reports that have been issued since the last update presented to the March 2021 Audit Committee.

Author	Title of Report	Publication Date	Reported To
Audit Scotland	<a href="#">Following the pandemic pound: our strategy</a>	Apr-21	Audit Committee June 2021

- 4.2 The Audit Scotland report entitled “*Following the pandemic pound: our strategy*” sets out Audit Scotland’s approach to the audit of Covid funding provided by the Scottish Government to businesses and a wide range of Public Sector bodies. The audit will consider the source of funding, how it has been allocated/distributed and managed. The audit will also consider longer term outcomes/effectiveness.

## 5. Inspection Reports

- 5.1 The table below presents the Inspection reports that have been issued since the last update presented to the March 2021 Audit Committee

Publication Date	Inspection		Inspection Date	Action Plan	Reported To
<a href="#">Burnbrae</a> 15 Mar 2021	Care Inspectorate	Unannounced	8 Mar 2021		CCGC May 2021
<a href="#">Burnbrae</a> 4 May 2021	Care Inspectorate	Unannounced	4 May 2021		To be reported to a future CCGC

- 5.2 Under the duties placed on the Care Inspectorate (CI) by the Coronavirus (Scotland) (No.2) Act, the CI report to the Scottish Parliament fortnightly on their inspection activity. The reports set out which care home services were inspected during those two weeks and the findings of those inspections. A summary is presented below.

Publication Date	Service	Evaluation of Service	Further Action	Reported to
17/02/21	No local services inspected			
03/03/21	No local services inspected			
17/03/21	<a href="#">Newcarron Court Nursing Home</a>	Inspection of the care home on 23 February focused on infection prevention and control practices. Overall evaluation for key question 7 ‘How good is our care and support during the COVID-19 pandemic?’ - Good <b>Quality Indicator (QI) evaluation: QI 7.2</b> Infection prevention and control practices – Good	Falkirk health and social care partnership were informed of CI findings.	CCGC 21 May 2021
17/03/21	<a href="#">Burnbrae</a>	Follow up inspection on 4 March did not change the service evaluations. CI will undertake a further visit to monitor progress.	The partnership were informed of CI findings.	CCGC 21 May 2021
31/03/21	No local services inspected			
14/04/21	<a href="#">Southfields</a>	Follow up inspection on 24 March focused on improvements required in	Falkirk health and social care partnership were	CCGC 21 May 2021

Publication Date	Service	Evaluation of Service	Further Action	Reported to
		relation to staff team. Overall evaluation for key question 3 'How good is our staff team?' – Adequate <b>Quality indicator (QI) evaluations:</b> QI 3.2 - Staff have the right knowledge, competence and development to care for and support people – Adequate	informed of CI findings.	
28/04/21	No local services inspected			
13/05/21	<a href="#">Burnbrae</a>	Follow up inspection on 30 April found some improvements in areas required. Risk assessments had been developed but further detail was needed in these. Staff had undertaken training in falls prevention and risk assessment. Records were up to date and a training plan was in place. People's needs had been assessed and protocols were in place to inform staffing levels. Further improvement is required to improve people's health and wellbeing outcomes and experiences. The visit did not change the service evaluations. CI will undertake a further visit to monitor progress.	Falkirk health and social care partnership were informed of CI findings.	To be reported to a future CCGC

## 6. Conclusions

- 6.1 This report provides a summary of all relevant national audit and inspection reports which have been published since the last Audit Committee meeting. The vast majority of the reports have already been considered by the Clinical Care Governance Committee and are therefore for noting.
- 6.2 However the reports published by Audit require to be formally considered by the Audit Committee.

### Resource Implications

There are no resource implications arising from this report.

### Impact on IJB Outcomes and Priorities

The IJB is committed to delivering on the outcomes and principles set out in the Strategic Plan. This requires effective planning for services, appropriate governance structures and assurance that safe, high quality services are in place. The National Audit reports and Inspection Reports provide standards and recommendations that the IJB can assess itself against to ensure delivery of the Strategic Plan.

### Directions

A new Direction or amendment to an existing Direction is not required as a result of the recommendations of this report.

### Legal & Risk Implications

There are no specific legal implications arising from this report. The key risk implications relate to the potential risk of harm to service users, financial risk and reputational risk arising from failure to consider the findings of National Audit reports and Inspection reports.

### Consultation

There are no consultation requirements arising from this report.

### Equalities Assessment

There are no equality implications arising from this report.

## 7. Report Author

Jillian Thomson, Chief Finance Officer

## 8. List of Background Papers

N/A

## 9. Appendices

N/A