

## **Agenda Item 6**

# **National Audit and Inspection Report Overview**



## **Falkirk Integration Joint Board Audit Committee**

**24 September 2021**

### **National Audit and Inspection Report Overview**

#### **For Consideration and Comment**

## **1. Executive Summary**

- 1.1 This report provides an overview of all national audit, scrutiny and inspection reports published since the previous Audit Committee meeting held on 18 June 2021. This report is a standing agenda item.
- 1.2 A total of 4 reports have been published (2 by Audit Scotland, 1 by the Scottish Government and 1 by the Care Inspectorate).

## **2. Recommendations**

The Audit Committee is asked to consider and comment on:

- 2.1 the content of this report.

## **3. Background**

- 3.1 In June 2019, the IJB Audit Committee agreed to receive a “National Audit and Inspection Report Overview” paper as a standing item at each meeting. This arrangement recognised that reports may need to be considered across a wide range of settings (such as the IJB or Clinical and Care Governance Committee) dependent on the nature of the report and the associated recommendations. However, it was also recognised that as a minimum, all national reports must be noted by Audit Committee and where action plans are required, these must be developed and monitored.
- 3.2 Where reports are not considered by the IJB or Clinical and Care Governance Committee (CCGC), a summary of the reports will be included in the overview paper.
- 3.3 Audit Committee members can highlight if they think that more detailed consideration of any paper is required, and if so, what the appropriate reporting route would be.

## **4. National Reports**

- 4.1 The table below lists the National reports that have been issued since the last update presented to the June 2021 Audit Committee.

Author	Title of Report	Publication Date	Reported To
Audit Scotland	<a href="#">Falkirk IJB Annual Audit Plan 2020/21</a>	16/07/21	Audit Committee September 21
Audit Scotland	<a href="#">Falkirk Council Pension Fund Annual Audit Plan 2020/21</a>	16/07/21	Audit Committee September 21
Scottish Government	<a href="#">National Care Service Scotland Consultation</a>	09/08/21	IJB September 21

- 4.2 The Audit Scotland report entitled “Falkirk IJB Annual Audit Plan 20/21” sets out the work the IJB’s External Auditors will undertake to inform the independent auditor’s report on the financial statements and meet the wider scope requirements of public sector audit, including the audit of Best Value. The plan was previously considered by the Audit Committee on 5 March 2021.
- 4.3 The Audit Scotland report entitled “Falkirk Council Pension Fund Annual Audit Plan 20/21” sets out the work that Falkirk Council’s External auditors will perform to provide an independent view on the Pension Fund’s financial statements and meet the wider scope requirements of public sector audit. The plan has been considered by the Pensions Committee and Local Pension Board. Note that an audit sharing protocol is in place to ensure that any relevant issues arising in either partner organisation which may impact on the IJB is passed to the IJB’s audit committee for consideration and action. No relevant issues have been raised from the external audit of the pensions fund.
- 4.4 The Scottish Government published the consultation document on the implementation of the Independent Review of Adult Social Care (IRASC) recommendations and the creation of a National Care Service (NCS) in early August. The consultation period has recently been extended to 2<sup>nd</sup> November 2021. The consultation represents an opportunity to transform the way we deliver care and support, placing human rights at the heart of decision making and shifting the focus to prevention, embedding fair work and ethical commissioning and strengthening the commitment to integrate health and social care. Whilst the scope of the NCS relates to adult social care services, the consultation also includes potential for this to be expanded to include children’s services, community justice, alcohol and drug services, social work and some mental health services. In addition, the Consultation proposes that the role of IJBs is extended to become Community Health and Social Care Boards for local service delivery. A series of events will be scheduled over the coming weeks to help facilitate debate and discussion across the HSCP. A full response will be prepared on behalf on the IJB and circulated for approval prior to submission.

## 5. Inspection Reports

- 5.1 The following table presents the Inspection reports that have been issued since the last update presented to the June 2021 Audit Committee

Publication	Inspection Date		Service Evaluation	Reported To
<a href="#">Burnbrae Care Home</a>	Care Inspectorate - Unannounced	20 August 2021	How good is our care and support during the COVID-19 pandemic? – <b>4 Good</b>  Quality Indicator 7.2 Infection control practices support a safe environment for people experiencing care and staff – <b>4 Good</b>	A verbal update was provide to CCGC on 27 August 2021

5.2 Under the duties placed on the Care Inspectorate (CI) by the Coronavirus (Scotland) (No.2) Act, the CI report to the Scottish Parliament fortnightly on their inspection activity. The reports set out which care home services were inspected during those two weeks and the findings of those inspections. A summary is presented below.

Publication Date	Service	Evaluation of Service	Further Action	Reported to
27/05/21	No local services inspected			
10/06/21	No local services inspected			
24/06/21	<a href="#">Dunvegan</a> (operated by Priory Adult Care)	Inspection of the care home on 5 and 8 June 2021. <b>Overall evaluation for key question 1 ‘How well do we support people’s wellbeing? – Adequate</b> <b>Quality Indicator (QI) evaluation:</b> QI 1.1 People experience compassion, dignity and respect – Good QI 1.2 People get the most out of life – Adequate QI 1.3 People’s health benefits from their care and support – Adequate <b>Overall evaluation for key question 2 ‘How good is our leadership?’ – Adequate</b> Quality indicator (QI) evaluations: QI 2.4 Staff are well led – Adequate <b>Overall evaluation for key question 7 ‘How good is our care and support during the Covid-19 pandemic?’ – Adequate</b>	Falkirk health and social care partnership were informed of CI findings.	CCGC Aug 2021

Publication Date	Service	Evaluation of Service	Further Action	Reported to
		<p>Quality indicator (QI) evaluations:            QI 7.2 Infection prevention and control practices - Adequate            QI 7.3 Staffing arrangements are responsive to the changing needs of people experiencing care – Adequate</p>		
08/07/21	<p><a href="#">Burnbrae</a>            (operated by Falkirk Council)</p>	<p>Further visits to the home on 15, 16 17 June to follow up on the requirements made during previous inspections. Sufficient improvements had been made around risk assessment and care planning for people, training and quality assurance.</p> <p><b>Key question 1: How well do we support people's wellbeing? - Adequate</b>            QI 1.1 People experience compassion, dignity and respect - Good            QI 1.2 People get the most out of life - Adequate            QI 1.3 People's health benefits from their care and support – Adequate</p> <p><b>Key question 2: How good is our leadership? - Adequate</b>            QI 2.2 Quality assurance and improvement is led well - Adequate.</p> <p><b>Key question 3: How good is our staff team? - Adequate</b>            QI 3.3 Staffing levels are right, and staff work well together - Adequate</p> <p><b>Key question 4: How good is our setting? - Adequate</b>            QI 4.1 People experience high quality facilities – Adequate</p> <p><b>Key question 5: How well is our care and support planned? - Adequate</b>            QI 5.1 Assessment and care planning – Adequate</p> <p><b>Key question 7 How good is our care and support during the Covid-19 pandemic? – Adequate</b>            QI 7.2 Infection prevention and control practices – Adequate</p>	<p>Falkirk Health and Social Care partnership were informed of CI findings.</p>	<p>CCGC            September 2021</p>

Publication Date	Service	Evaluation of Service	Further Action	Reported to
22/07/21	No local services inspected			
05/08/21	No local services inspected			
19/08/21	No local services inspected			

## 6. Conclusions

- 6.1 This report provides a summary of all relevant national audit and inspection reports which have been published since the last Audit Committee meeting. The vast majority of the reports have already been considered by the Clinical Care Governance Committee and are therefore for noting.
- 6.2 However, the reports published by Audit require to be formally considered by the Audit Committee.

### Resource Implications

There are no resource implications arising from this report.

### Impact on IJB Outcomes and Priorities

The IJB is committed to delivering on the outcomes and principles set out in the Strategic Plan. This requires effective planning for services, appropriate governance structures and assurance that safe, high quality services are in place. The National Audit reports and Inspection Reports provide standards and recommendations that the IJB can assess itself against to ensure delivery of the Strategic Plan.

### Directions

A new Direction or amendment to an existing Direction is not required as a result of the recommendations of this report.

### Legal & Risk Implications

There are no specific legal implications arising from this report. The key risk implications relate to the potential risk of harm to service users, financial risk and reputational risk arising from failure to consider the findings of National Audit reports and Inspection reports.

### Consultation

There are no consultation requirements arising from this report.

### Equalities Assessment

There are no equality implications arising from this report.

## 7. Report Author

Jillian Thomson, Chief Finance Officer

## 8. List of Background Papers

N/A

## 9. Appendices

N/A